

**SUBSCRIPTION FORM Legal entity with multiple UBOs  
Mint Tower Defined Returns Fund W-Class EUR  
ISIN NL0015002BX5**



**Section 1 – Details undersigned (concerns the entity, hereinafter to be referred: the Participant)**

Legal form : \_\_\_\_\_

Statutory name : \_\_\_\_\_

Trade name : \_\_\_\_\_

Business address : \_\_\_\_\_

Registered office : \_\_\_\_\_

Nature of the  
business activities : \_\_\_\_\_

Business register number: \_\_\_\_\_

IBAN Participant : \_\_\_\_\_

Phone number : \_\_\_\_\_

Email : \_\_\_\_\_

First and last name  
representative : \_\_\_\_\_

**Section 2 – The investment**

The Participant wishes to purchase units in the Mint Tower Defined Returns Fund (**the Fund**). Therefore an amount of € \_\_\_\_\_ (at least € 2.500.000,-) is transferred to:

IBAN : NL77 ABNA 0139 9482 52  
BIC : ABNANL2A  
Account name : Stichting Juridisch Eigendom Mint Tower Defined Returns Fund  
With reference to : “Mint Tower Defined Returns Fund”

The amount invested must come from a bank or giro account held with a bank located in the European Economic Area. If this is not met, the subscription cannot be accepted. In that case, the amount deposited by the Participant into the above-mentioned bank account will be immediately refunded to the bank or giro account from which the amount was received.

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**Section 3 – Other information Participant**

**Source of funds**

In the context of the Dutch Money Laundering and Terrorist Financing (Prevention) Act (**Wwft**), we ask you to choose one or more of the options below in connection with the source of the funds used for the investment in the Fund;

- |  |  |
|--|--|
| <input type="checkbox"/> Savings                                       | <input type="checkbox"/> Income from former entrepreneurial activities |
| <input type="checkbox"/> Investment income                             | <input type="checkbox"/> Received inheritance or donation              |
| <input type="checkbox"/> Resale income from real estate                | <input type="checkbox"/> Income from employment;                       |
| <input type="checkbox"/> Income from active entrepreneurial activities | Profession: _____  |
| <input type="checkbox"/> Family wealth                                 | <input type="checkbox"/> Other source, namely: _____                   |

**Purpose of the investment**

In the context of the Wwft, we ask you to choose one or more of the options below in connection with the purpose of your investment in the Fund;

- Capital growth
- Capital preservation
- Pension accrual
- Other, namely: \_\_\_\_\_

**Expected future investments**

In the context of the Wwft, we ask you to indicate whether and to what extent you intend to make follow-up investments in the Fund. This does not impose any obligation on you.

- Yes, one-off € \_\_\_\_\_ Date: \_\_\_\_\_
- Yes, per month/quarter/year € \_\_\_\_\_ Starting date: \_\_\_\_\_
- No
- Still unknown

**Tax status**

Participant is taxable in:

- the Netherlands
- outside the Netherlands, namely: \_\_\_\_\_

➤ TIN: \_\_\_\_\_

- the United States of America.

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**Section 4 – Documentation to be sent along with the subscription form**

In the context of the customer due diligence, the following must also be provided with this subscription form:

- a recent (not older than 3 months) extract from the Business Register of the Participant
- articles of association of the Participant
- proof of authority to represent (insofar as this does not follow from the articles of association)
- copy ID Ultimate Beneficial Owners (UBOs)<sup>1</sup>
- copy ID of directors/partners (if different from the UBOs)
- UBO-form (see appendix) completed for each UBO
- if there are underlying entities:
  - organizational chart (including ownership/control percentages) in which the UBOs are also shown, signed by an authorized signatory
  - recent Business Register extract from underlying legal entities with (indirect) >25% share or control in the Participant

The above (personal) data is requested on the basis of Article 33 Wwft. The data will be kept in line with the General Data Protection Regulation for five years after the relationship has ended.

Apex Fund Services (Netherlands) B.V. (**the Administrator**) can request additional documentation at any time if this is required in accordance with the Wwft.

**Section 5 – Signature**

By signing this subscription form, the Participant declares:

- to have read the contents of the prospectus for the Fund (**the Prospectus**) and in particular the description contained therein of the risks associated with investing in the Fund;
- to be bound by the contents of the Prospectus (including the management and custody conditions that form part thereof);
- to give permission for the use of its e-mail and telephone number by Mint Tower Capital Management B.V. (**the Manager**) for communication purposes for its investment; and
- to have completed this form truthfully.

Place:

Date:

Signature:

The Manager has been licensed in the Netherlands and is supervised by the Dutch Authority for the Financial Markets (AFM) and the Dutch Central Bank (DNB). (AIFMD license number 15001509)

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<sup>1</sup> The UBOs are the natural persons who ultimately own or control a legal entity. The UBOs are explained in more detail in the appendix to this subscription form.

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**Please send or email this form to the Administrator:**

Apex Fund Services (Netherlands) B.V.  
Van Heuven Goedhartlaan 935A  
1181 LD Amstelveen  
Fax (+31(0)20 52 41 231)  
E-mail [ssg@apexgroup.com](mailto:ssg@apexgroup.com) + [documenten@minttower.com](mailto:documenten@minttower.com)

**Appendix – UBO form (this form must be completed for each UBO)**

**Who qualifies as a UBO?**

The UBOs (Ultimate Beneficial Owners) are the ultimate beneficial owners of an entity. This always concerns natural persons. Categories of natural persons that must in any case be designated as UBOs are:

**In case of a private limited company / public limited company:**

- the natural person who is directly or indirectly entitled to more than 25% of the economic rights to the company, or who has more than 25% of the voting rights, or the person who has actual control over the legal person.

**In case of a foundation/association:**

- the natural person who directly or indirectly has an ownership interest of more than 25%, or who can exercise more than 25% of the votes in the event of an amendment to the articles of association, or who has actual control over the legal person.

**In case of a partnership:**

- the natural person who directly or indirectly has an ownership interest of more than 25%, or who can exercise more than 25% of the votes in the event of management acts and/or changes to the cooperation agreement.

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**Details UBO**

First name and last name: \_\_\_\_\_

Address : \_\_\_\_\_

Postal code and place  
of residence : \_\_\_\_\_

Date of birth : \_\_\_\_\_

Nationality : \_\_\_\_\_

Tax resident of : \_\_\_\_\_

➤ BSN/TIN: \_\_\_\_\_

% ownership : \_\_\_\_\_

% control : \_\_\_\_\_

Politically Exposed Person (PEP) or immediate family member or close associate of a PEP

US Person